

# A multi-scale cellular automaton for organized tropical convection

J. Berner and T. Palmer

With special thanks to:

G. Shutts, T. Jung, A. Tompkins, L. Ferranti,  
M. Leutbecher, P. Bechthold

# Outline

- ❖ Stochastic parameterizations in NWP
- ❖ Organized convection (OC)
  - Multi-scale structure
  - Representation of OC in the ECMWF model
  - Fundamental limitations of conventional parameterizations
- ❖ A simple multi-scale cellular automaton (MSCA) for organized convection
- ❖ Towards a MSCA-representation of organized convection in the ECMWF model

# Parameterization of subgrid-scale processes

Coupled system of grid-scale and subgrid-scale processes (Lorenz, 1996)

$$\begin{aligned}\dot{x}_k &= -x_{k-1}(x_{k-2} - x_{k+1}) - x_k - (hc/b) + F + \sum_{j=1}^J y_{j,k} \\ \dot{y}_{j,k} &= -cb y_{j+1,k}(y_{j+2,k} - y_{j-1,k}) - c y_{j,k} + (hc/b)x_k\end{aligned}$$

Equation for grid-scale process with parameterized subgrid-scale processes :

$$\dot{x}_k = -x_{k-1}(x_{k-2} - x_{k+1}) - x_k - (hc/b) + F + P_k(\vec{x})$$

Classical bulk-parameterization:

$$P_k(\vec{x}) = f(x_k)$$

local and deterministic: each large scale state is in equilibrium with ensemble of subgrid-states

# Parameterization of subgrid-scale processes

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Equation for grid-scale process with parameterized subgrid-scale processes :

$$\dot{x}_k = -x_{k-1}(x_{k-2} - x_{k+1}) - x_k - (hc/b) + F + P_k(\vec{x})$$

Stochastic-dynamic parameterization:

$$P_k(\vec{x}) = f(\vec{x}, \varepsilon)$$

nonlocal and random: particular realization of subgrid-scale state

# Conventional vs stochastic-dynamic parameterization

## Conventional

### parameterization:

- ❖ **Local**: determined by state in one gridbox
- ❖ **Deterministic**
- ❖ State-dependent, i.e., flow-dependent

## Stochastic-parameterization:

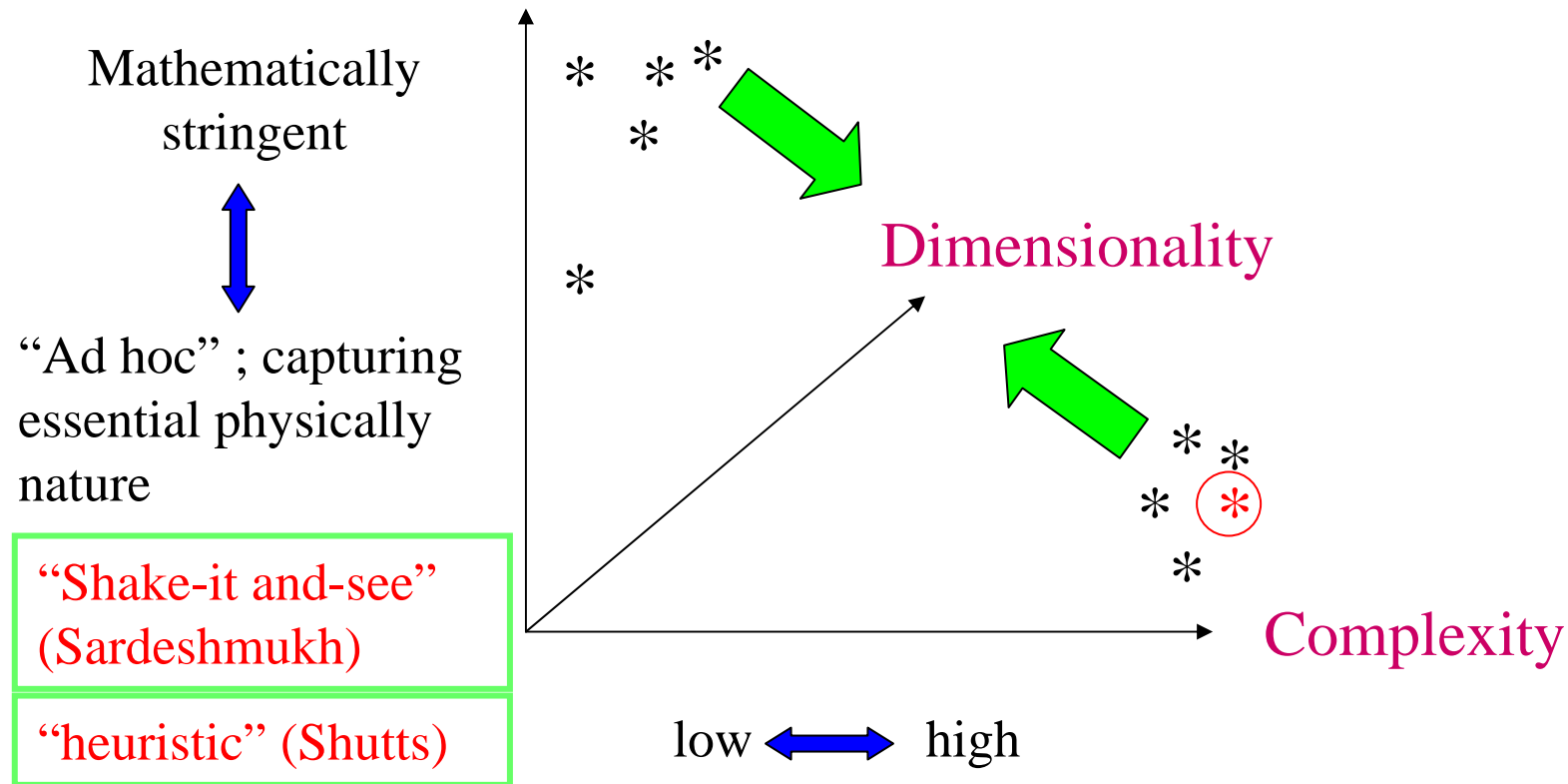
- ❖ **Non-local**: coherent structure spanning several gridboxes
- ❖ **Stochastic** or **quasi-random**
- ❖ State-dependent, i.e., flow-dependent

### **Here: Cellular Automaton**

(proposed by Palmer 1999, 2001  
developed by Shutts and  
Palmer, 2003)

# Stochastic parameterization: Closing the Gap?!

## Sophistication of methods for stochastic parameterization

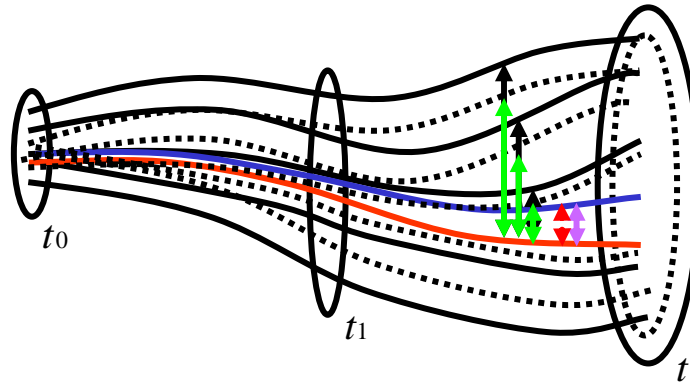


# Empirical approach

- ❖ Physical intuition
- ❖ Shake-it-and-see
- ❖ If impact positive, Go-and-understand
  - Missing process inherently stochastic?
  - Change in deterministic formulation?

# Model Error of NWP models

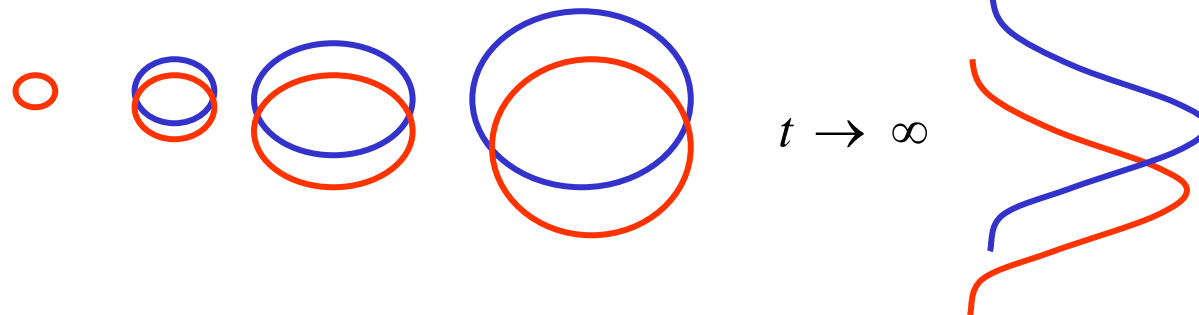
- ❖ Model is under-dispersive on medium-range



Insufficient representation  
of subgrid-scale processes?

Missing physical processes  
on near-gridcsale and  
gridscale (e.g., OC)

- ❖ Systematic error (climatic scale)



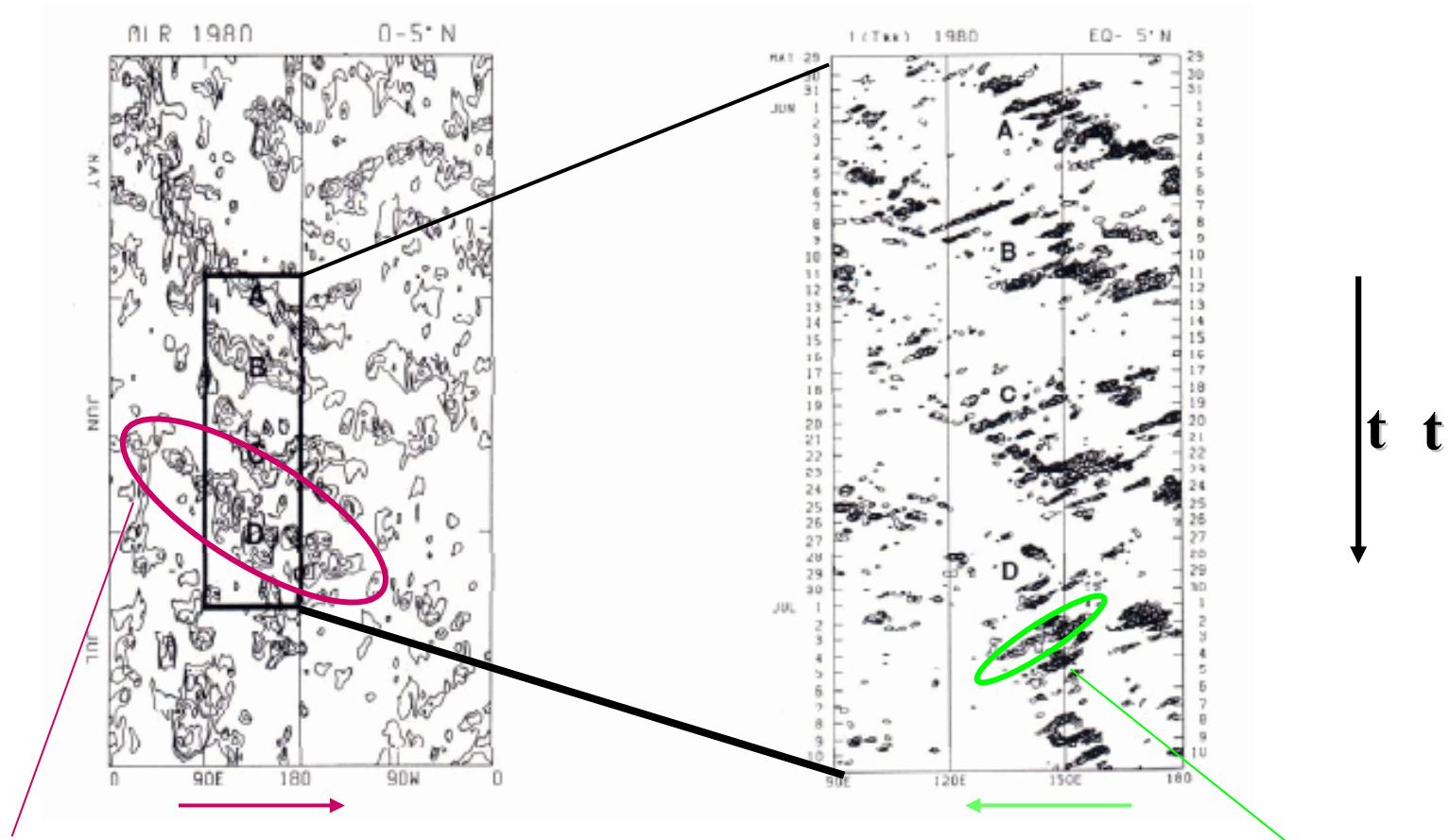
# Aim of study

Develop of a multi-scale cellular automaton (MSCA) for organized convection that captures the subgrid-scale forcing not represented by conventional parameterizations.



- Potential to reduce systematic error by better representation of MJO
- Potential of improved skill of medium- to extended-range weather forecasts by better representation of tropical variability (Ferranti et al., 1990)

# Multi-scale character of organized convection



**Superclusters**  
~20000km

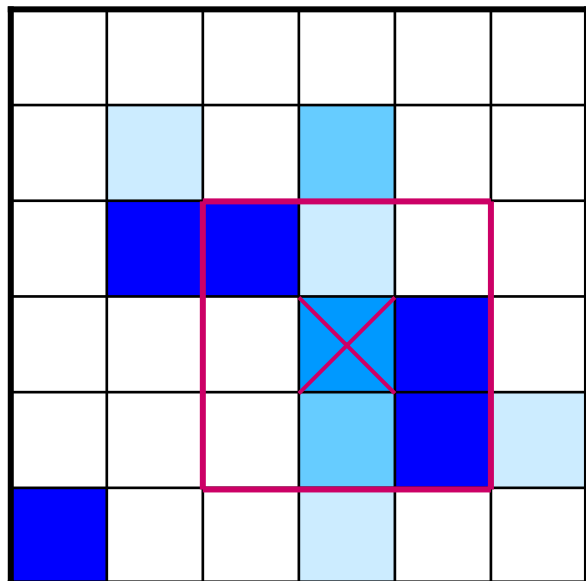
**Nakazawa, 1988**




**Organized convection**  
~1000km

# Multi-scale Cellular Automaton (MSCA) for convective organization (Palmer)

- ❖ Small-scale CA models convective cells advecting westwards with the trade winds
- ❖ Intermediate-scale CA models envelope of convective cells (cloud-clusters) propagating eastward

# Rules for small-scale CA



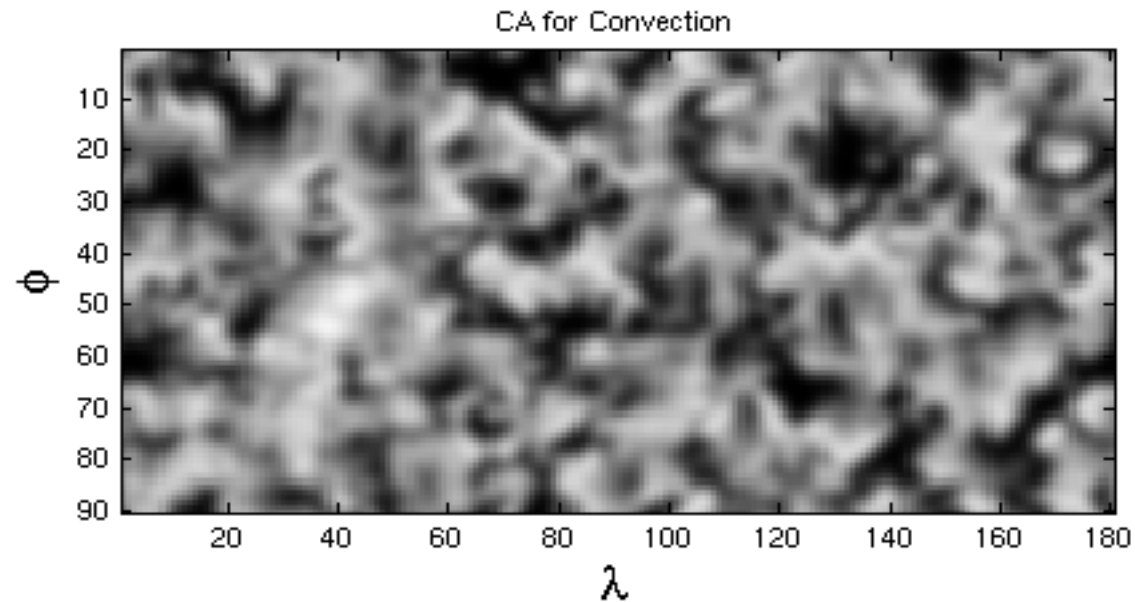
	Fertile cell
	Living cell with n lives
	Dead cells

- ❖ Developed and used by Shutts (2005)
- ❖ Find the number of fertile cells in immediate neighbourhood:  $S$
- ❖ If cell dead and  $S=\{2,3\} \Rightarrow$  create fertile cell
- ❖ If cell alive and  $S=\{3,4,5\} \Rightarrow$  cell survives with same number of lives
- ❖ If cell alive and  $S \neq \{3,4,5\} \Rightarrow$  cell loses one life
- ❖ One Parameter: Number of lives  $N$

System has memory ( $\Rightarrow$  temporal correlation)

Evolution depends on immediate neighbours  
( $\Rightarrow$  Coherent structures span several gridpoints)

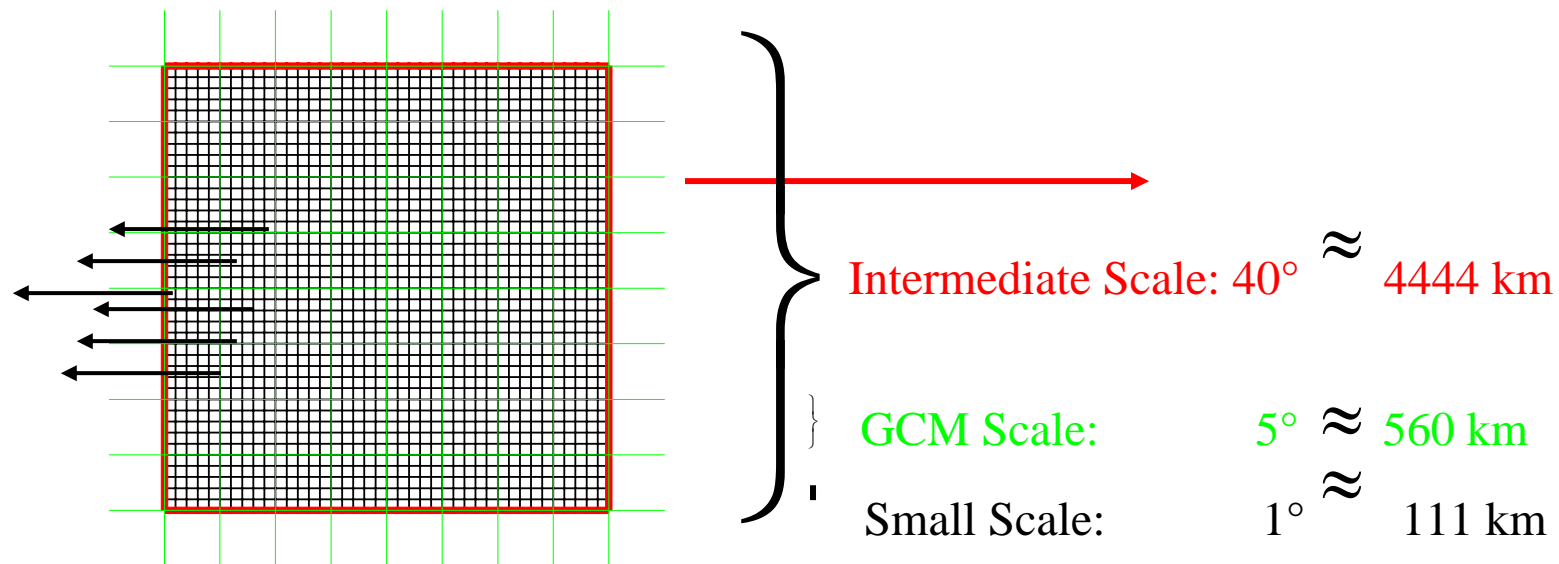
# Small-scale CA for convection



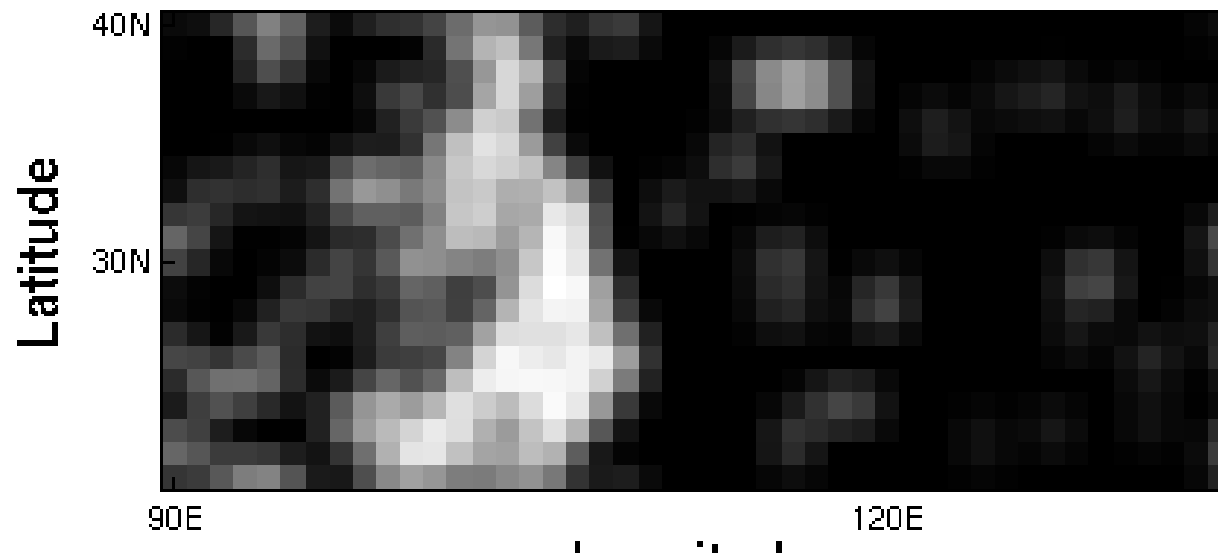
**After Shutts and Palmer, 2003**

# Multi-scale-Cellular Automaton

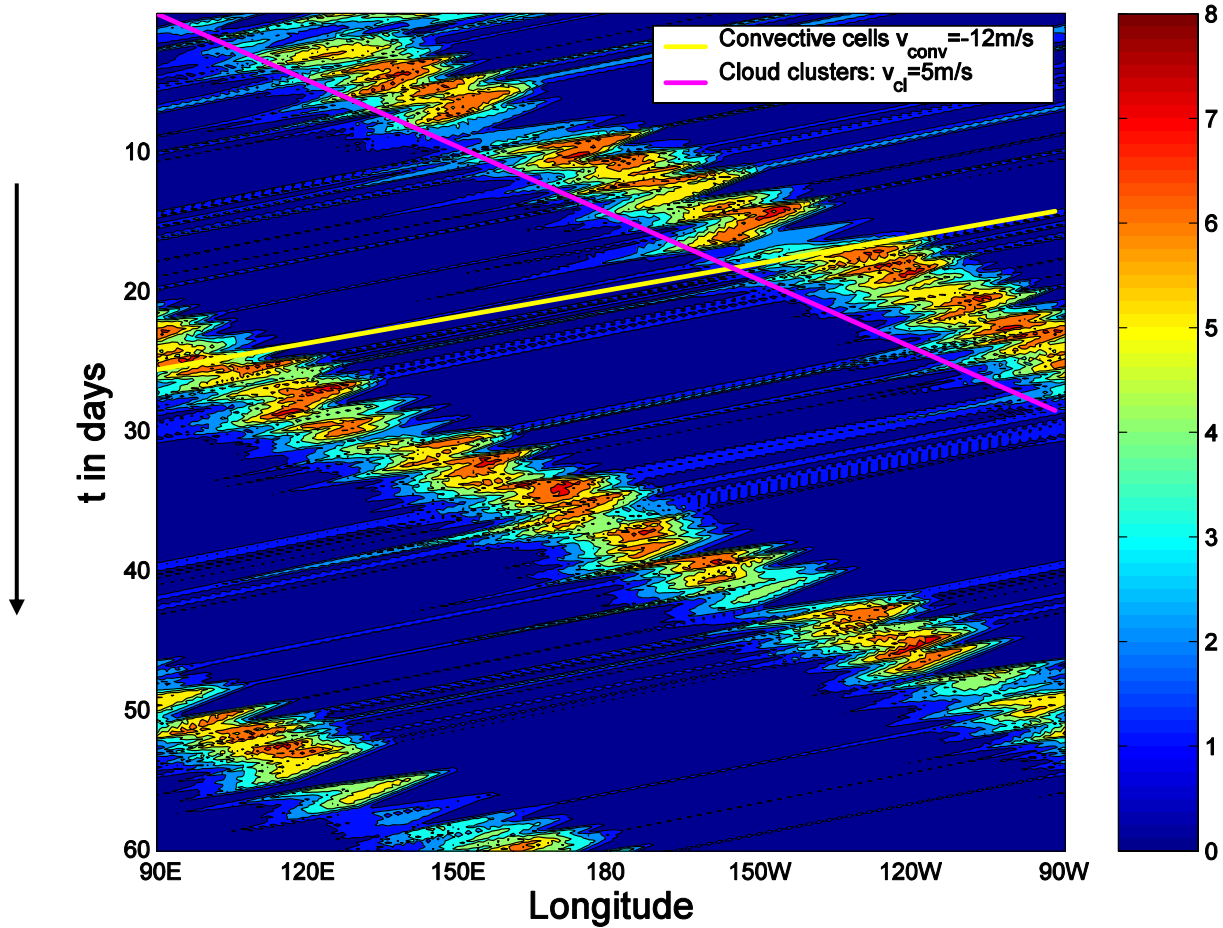
- ❖ Small-scale cells evolve according to rules and propagate to the west
- ❖ Intermediate-scale cells can be on/off and propagate to the east
- ❖ Fertile cells in small-scale CA can only be born if intermediate-scale cell is 'on'



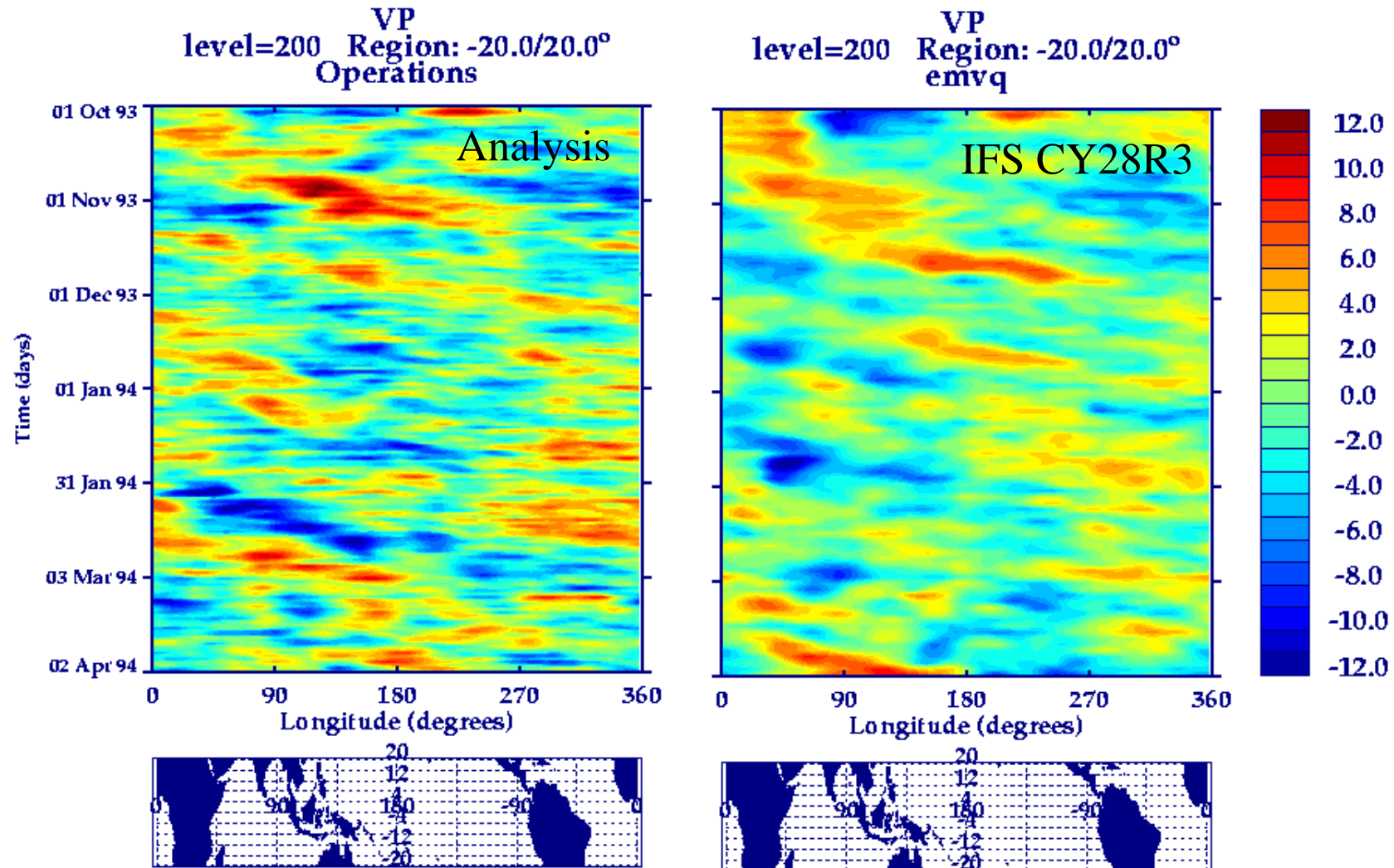
# Multi-scale-CA for Convective Organization



# Hovmöller diagram

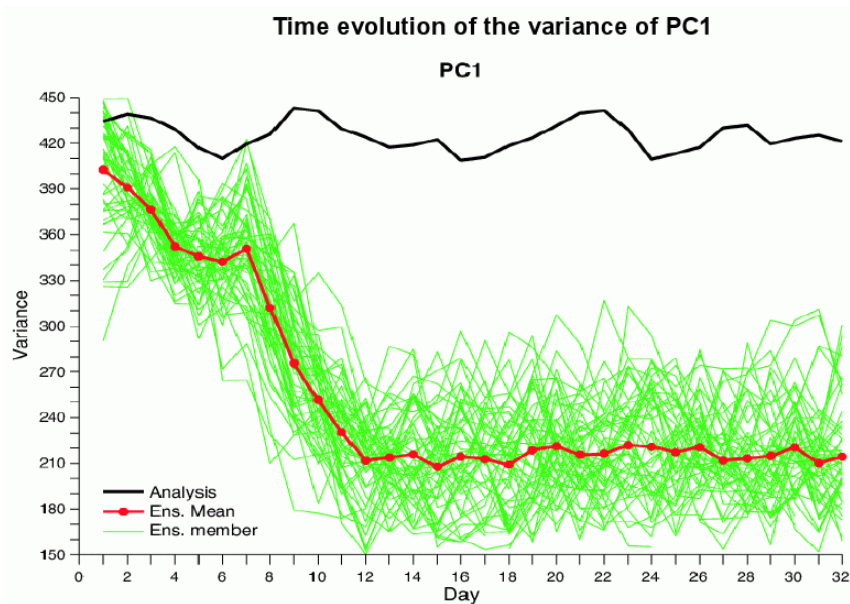


# **Towards a MSCA-representation of organized convection in the ECMWF model**



- Not enough fine-scale structure
- Amplitude too small
- Problems with propagation after ~10d

# MJO in coupled ECMWF model



**Vitart et al. 2003:**

**MJO variance decreases during the first 10d by as much as 50%**

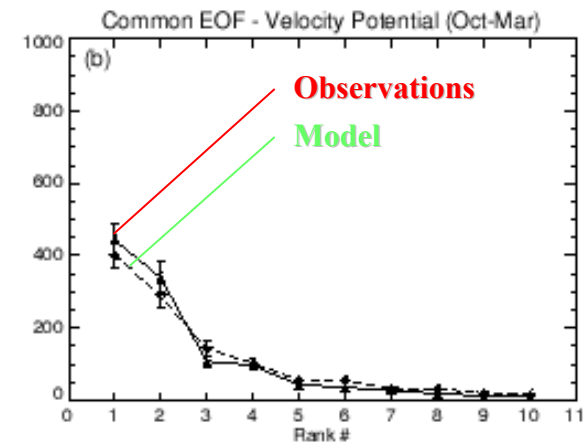
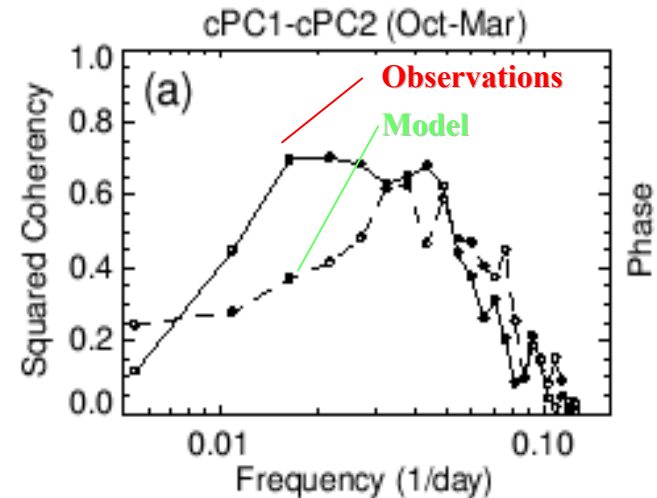
**Vitart and Anderson, 2004**

# MJO in ECMWF model (uncoupled)

Jung and Tompkins, 2003  
Tompkins and Jung, 2004:

- Leading two EOFs are significantly less coherent than observations on timescales longer than 30d

- MJO activity is underestimated by about 10% in ECMWF model (T95L90, resolution dependent)



Tompkins and Jung=2004

# Explicit Representation of organized convection in the IFS

- ❖ Climate runs forced with observed SST
- ❖ Integrated for 6 months: Oct-Mar
- ❖ T95L60 with version CY28R3
- ❖ Two experimental setups:
  - Forcing from CA only (state-independent)
  - forcing function of CA and CAPE (state-dependent)

## Parameterization organized convection

Parameterization of organized convection is represented as additional streamfunction forcing and added to the relative vorticity in spectral space

$$\frac{\partial \psi}{\partial t} = f(\Psi(\lambda, \phi, t), z) * CAPE(\lambda, \phi, t) / \Delta \tau$$

Streamfunction forcing

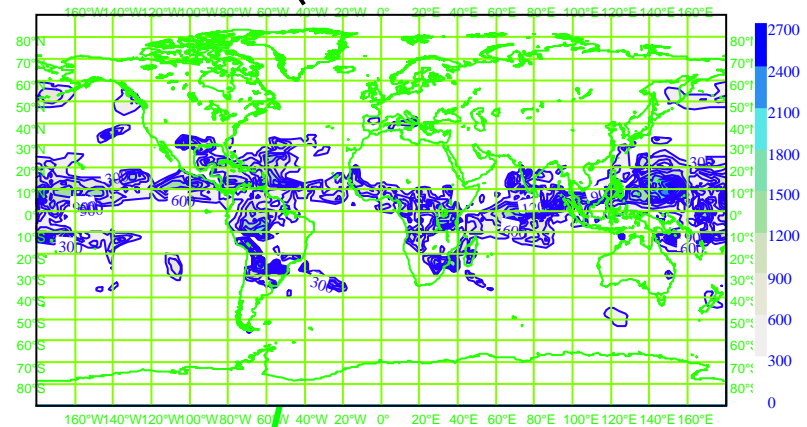
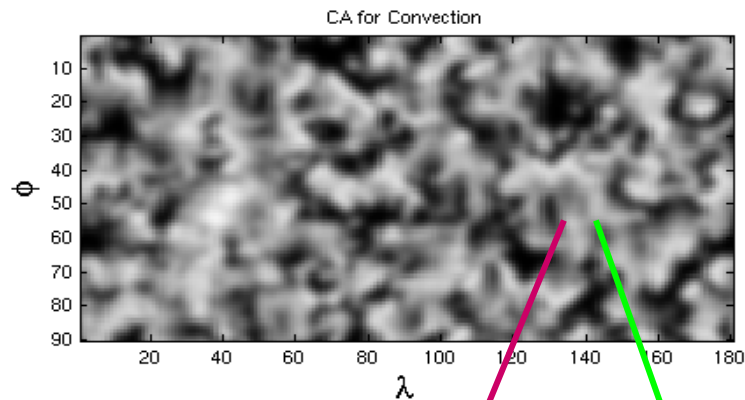
Horizontal and vertical structure function

CA structure function (smoothed, coarse-grained)

Convective available potential energy

# Parameterization organized convection

$$\Delta \psi = f(\Psi(\lambda, \phi, t), z) * CAPE(\lambda, \phi, t)$$



**Non-local, quasi-random, state-dependent**

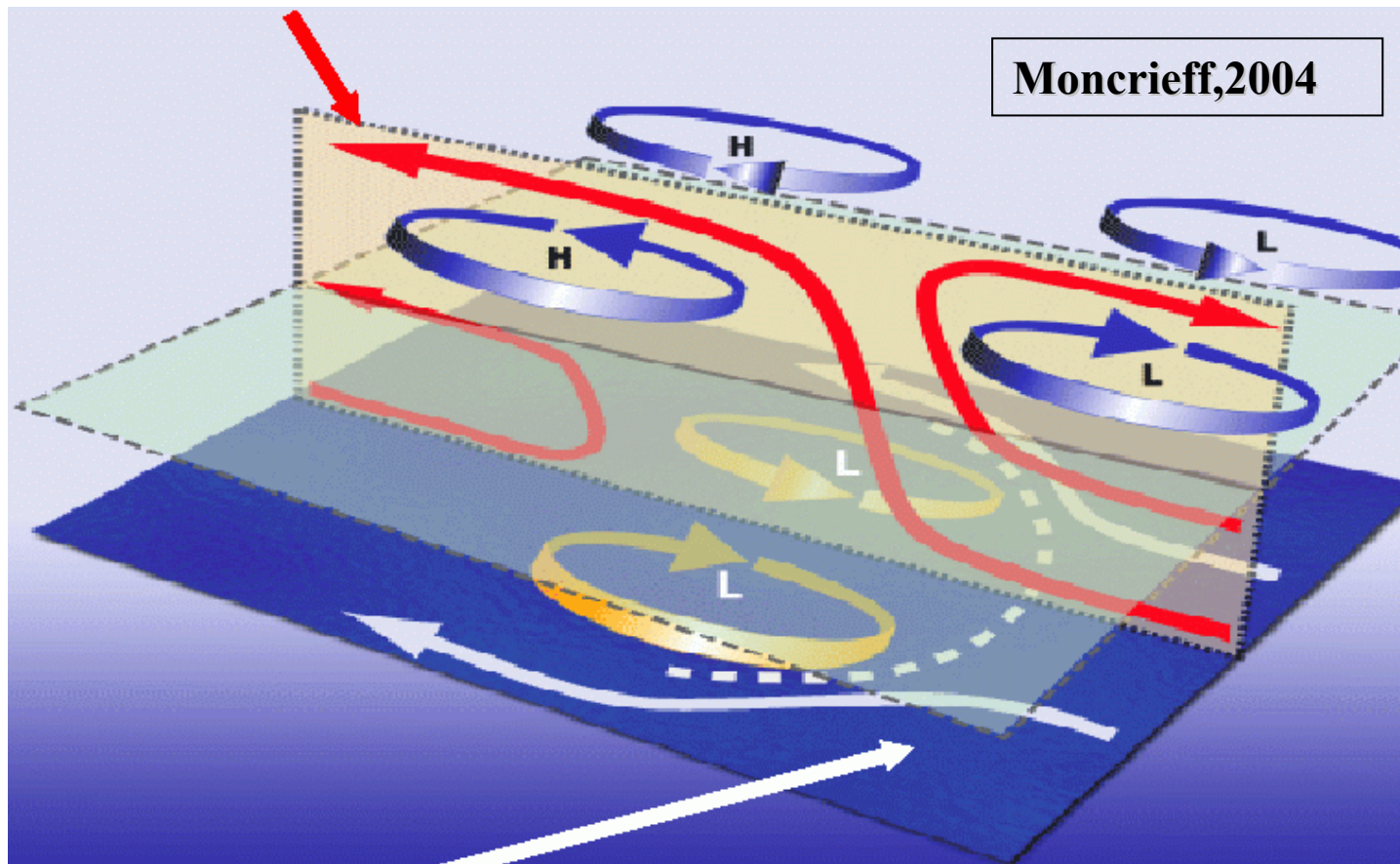
❖ Formulation almost identical to stochastic backscattering scheme of Shutts, 2005 (except vertical and horizontal structure )

But: different motivation

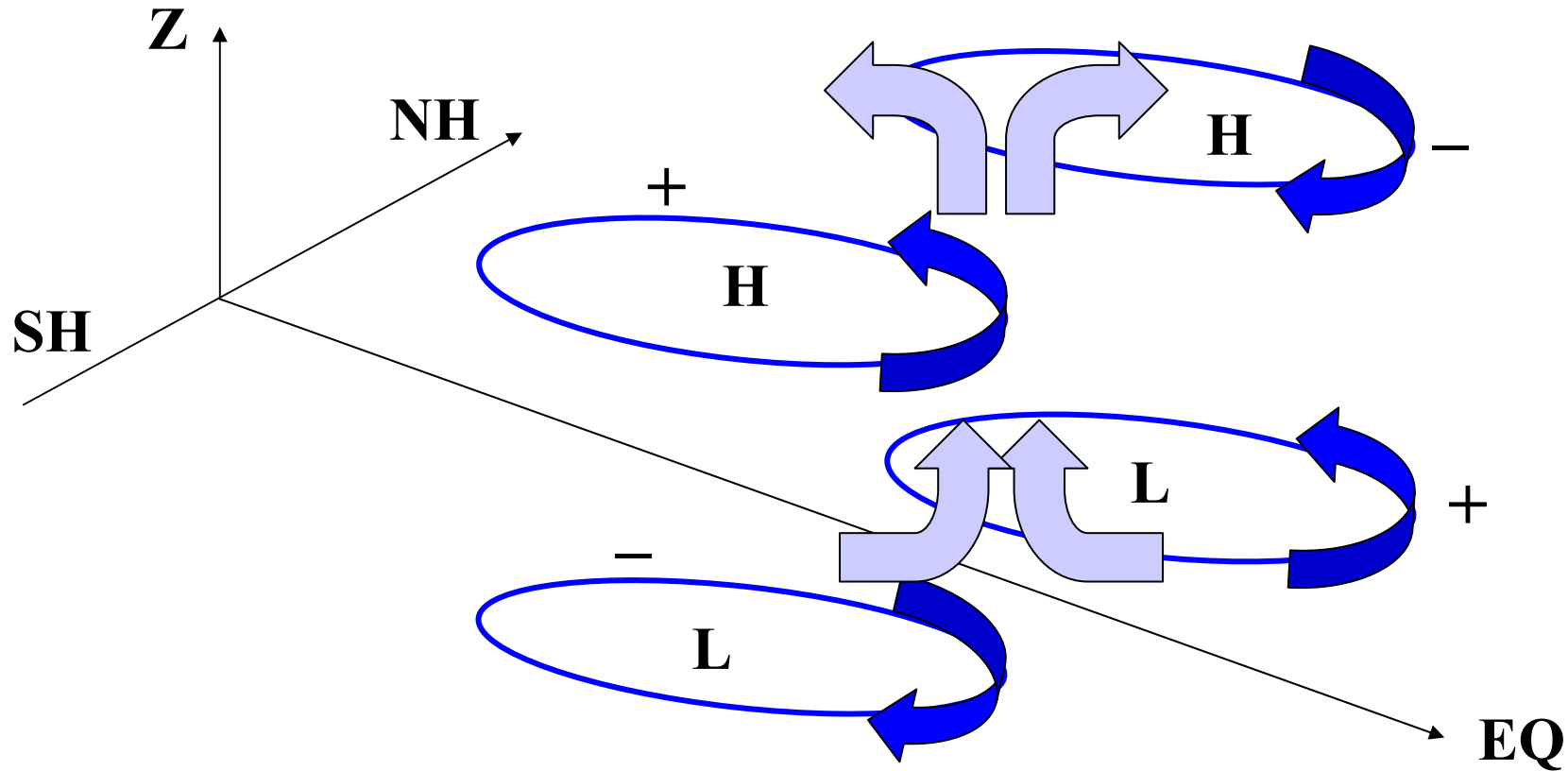
- Shutts: Backscatter of kinetic energy of processes represented by conventional parameterizations
- This work: adding (arguably) a missing nonlocal process, not representable by conventional parameterizations

- Can organized convection be fully represented by local parameterizations?
- System self-organizing on the subgrid-scale or is organization coming through the large-scales?

## Archetype for organized convection (Moncrieff, 2004)



# Horizontal and Vertical structure

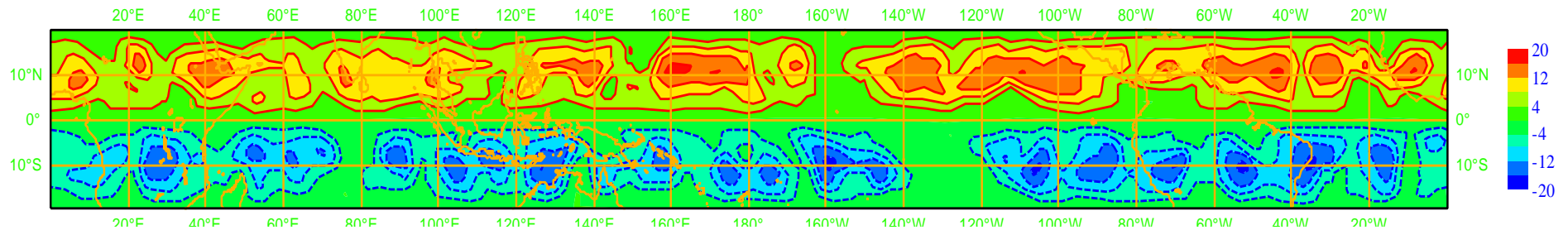


# Horizontal and vertical structure -- forcing from CA only (state-independent) --

Upper Troposphere ~15hPa

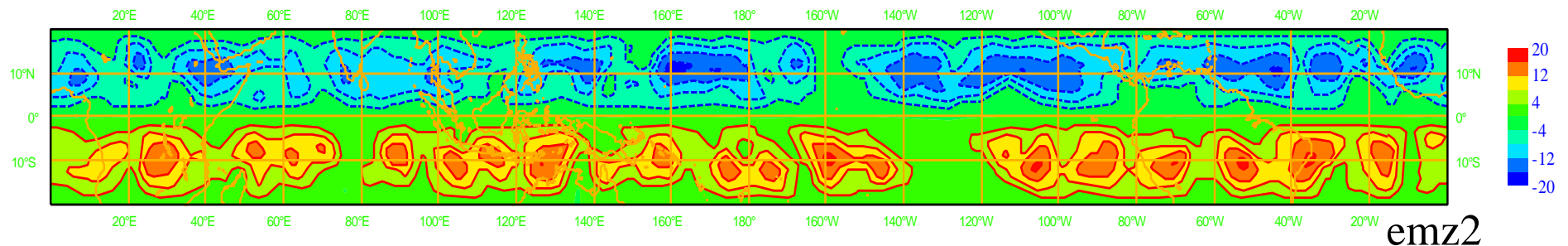
Problem with vertical structure

Tuesday 1 October 1991 12UTC ECMWF Forecast t+240 VT: Friday 11 October 1991 12UTC Model Level 15



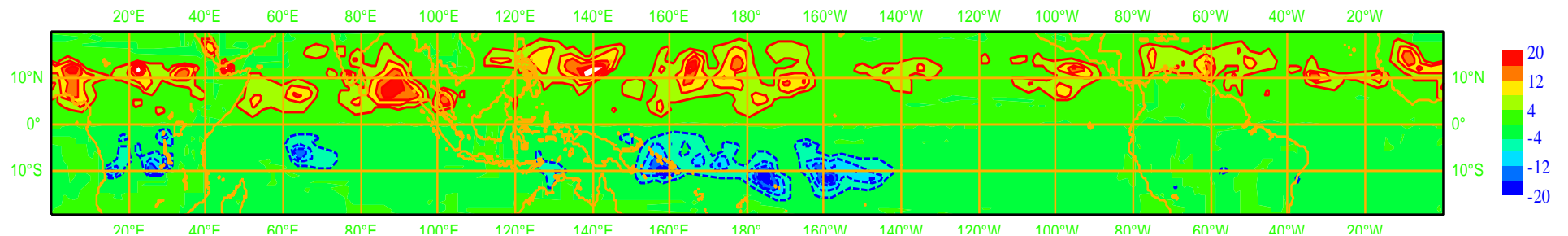
Lower Troposphere ~750hPa

Tuesday 1 October 1991 12UTC ECMWF Forecast t+240 VT: Friday 11 October 1991 12UTC Model Level 45



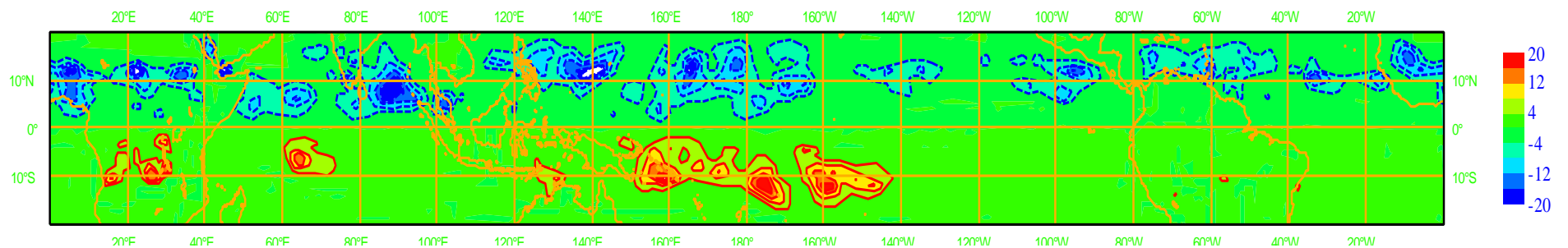
# Horizontal and vertical structure - forcing is function of CAPE (state-dependent)-

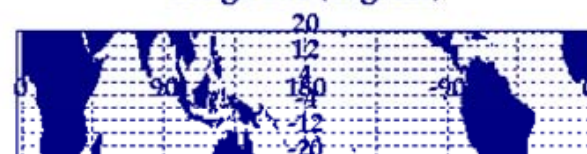
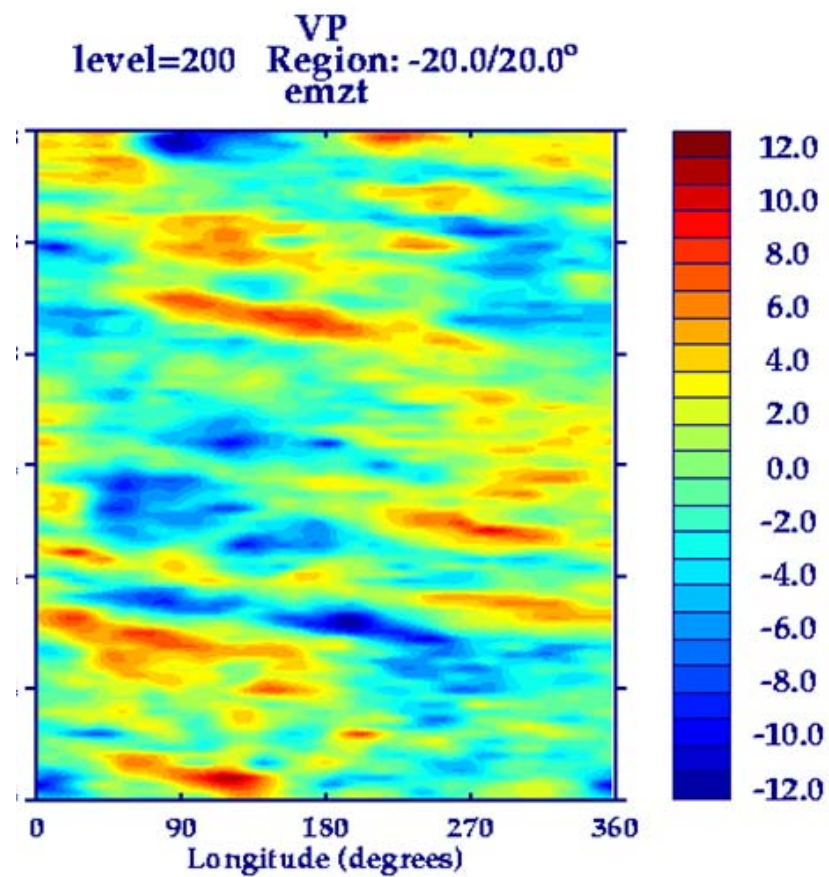
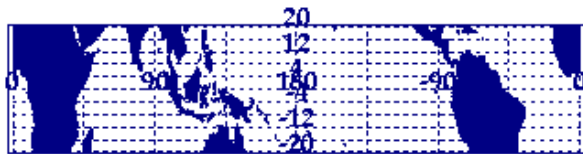
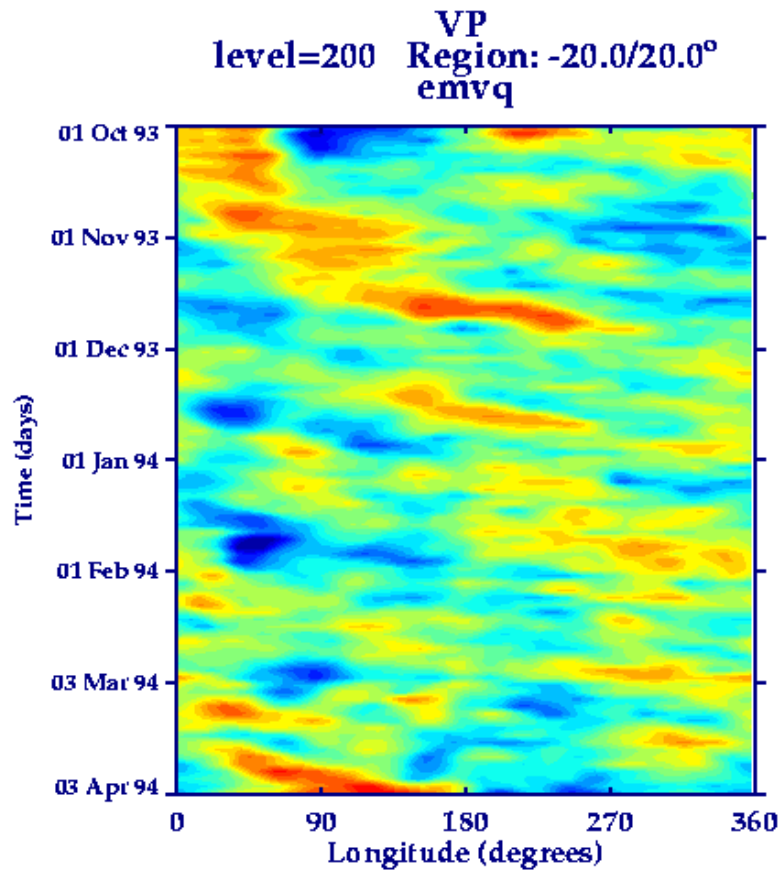
Tuesday 1 October 1991 12UTC ECMWF Forecast t+240 VT: Friday 11 October 1991 12UTC Model Level 15



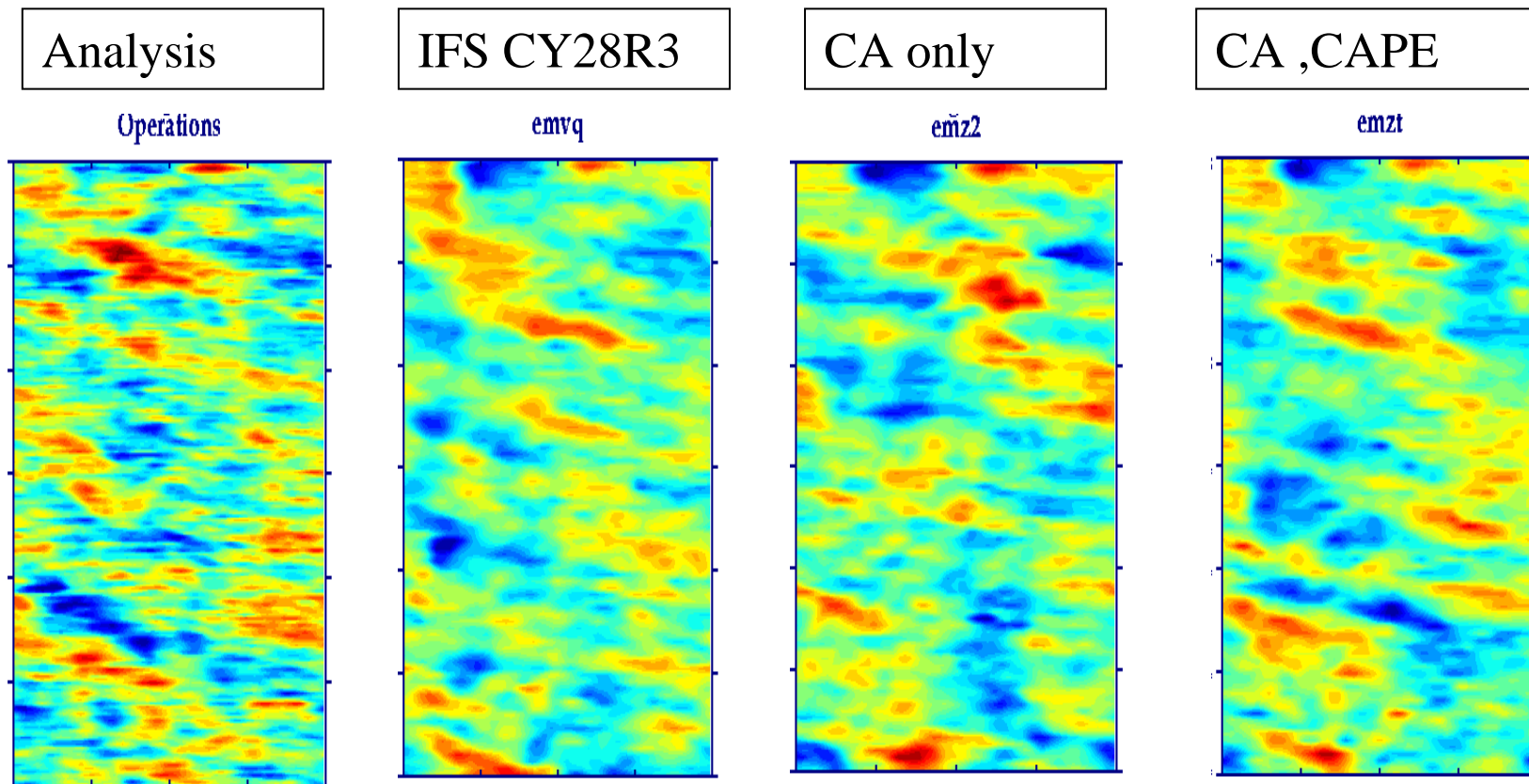
emzt

Tuesday 1 October 1991 12UTC ECMWF Forecast t+240 VT: Friday 11 October 1991 12UTC Model Level 45



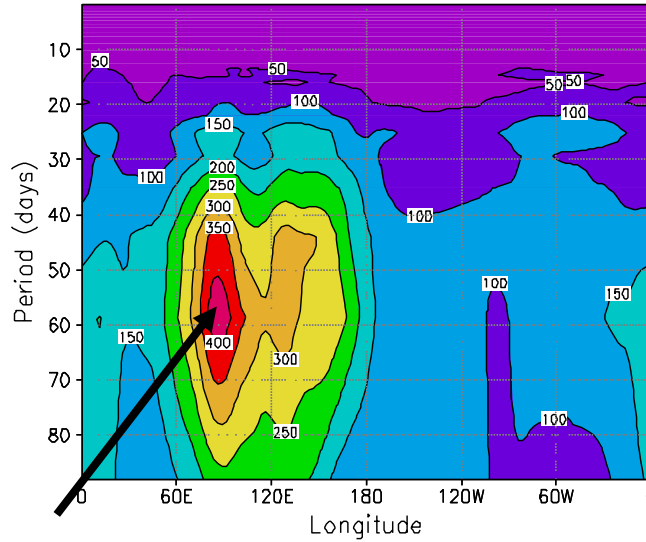


- More fine-scale structure
- Slightly larger amplitude
- More propagating features

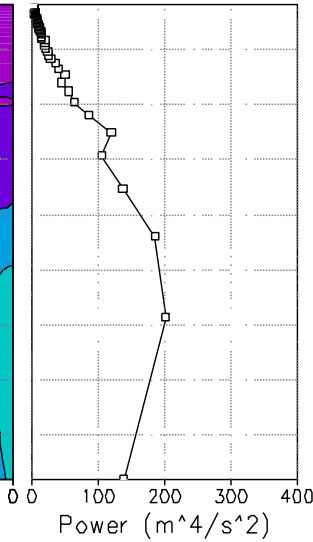


- Impact positive, but not sufficient small-scale structure
- More objective analysis needed!

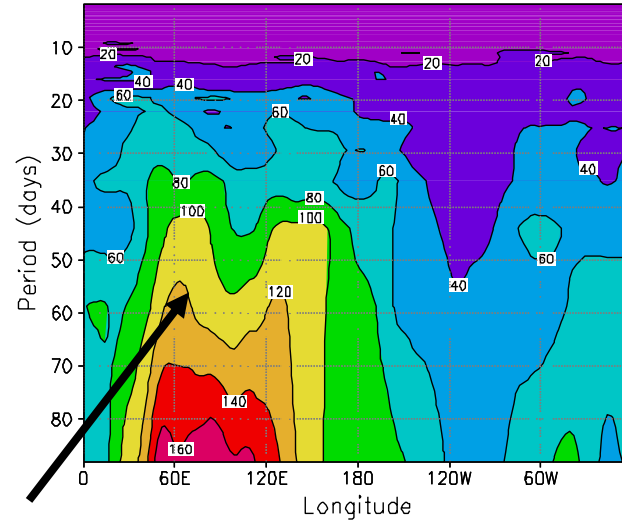
Average Power: Tropical Velocity Potential  
ERA-40 Oct-Mar 1962-2001



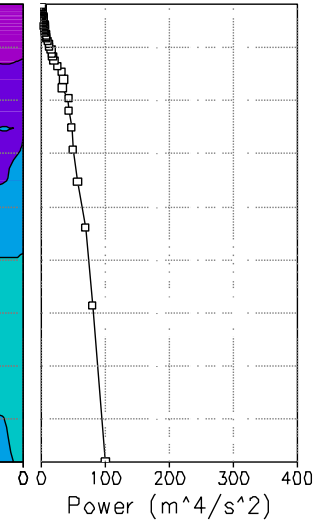
Zonally Averaged  
TVP-Power



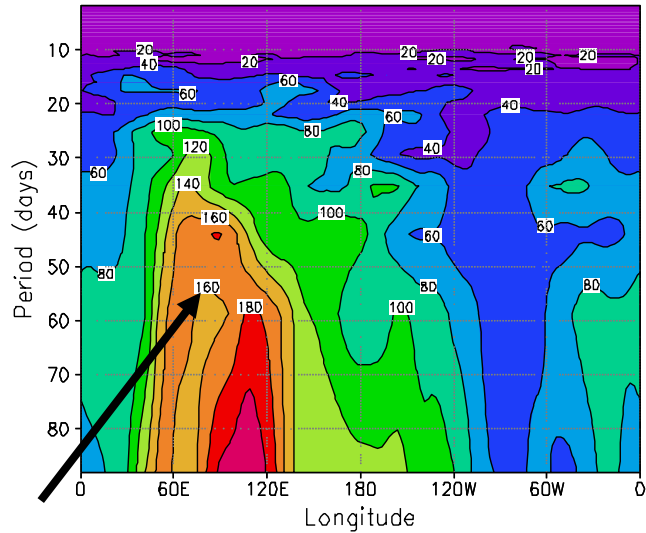
Average Power: Tropical Velocity Potential  
emvq (Control 28R3) Oct-Mar 1962-2001



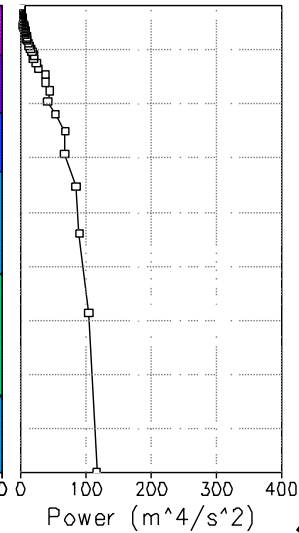
Zonally Averaged  
TVP-Power



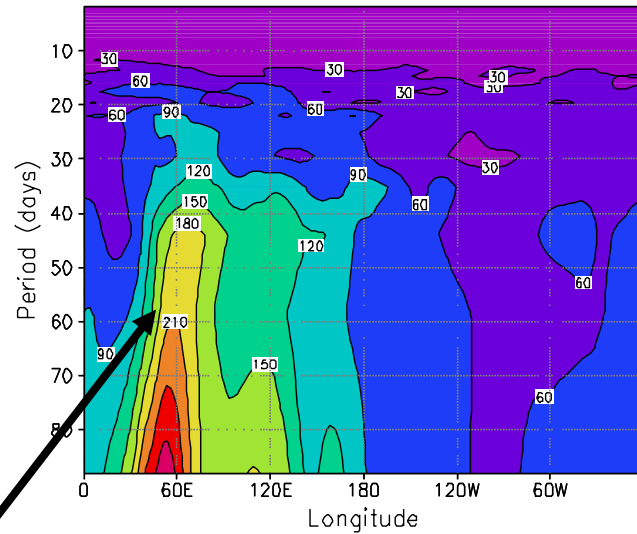
Average Power: Tropical Velocity Potential  
emz2 Oct-Mar 1981-2001



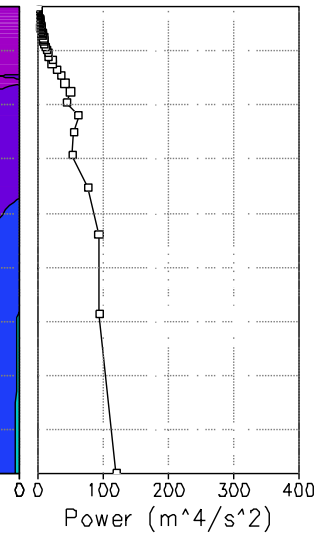
Zonally Averaged  
TVP-Power



Average Power: Tropical Velocity Potential  
emzt Oct-Mar 1981-2001



Zonally Averaged  
TVP-Power



Compare to Jung, Tompkins and Rodwell, 2005

# Future work I: Development

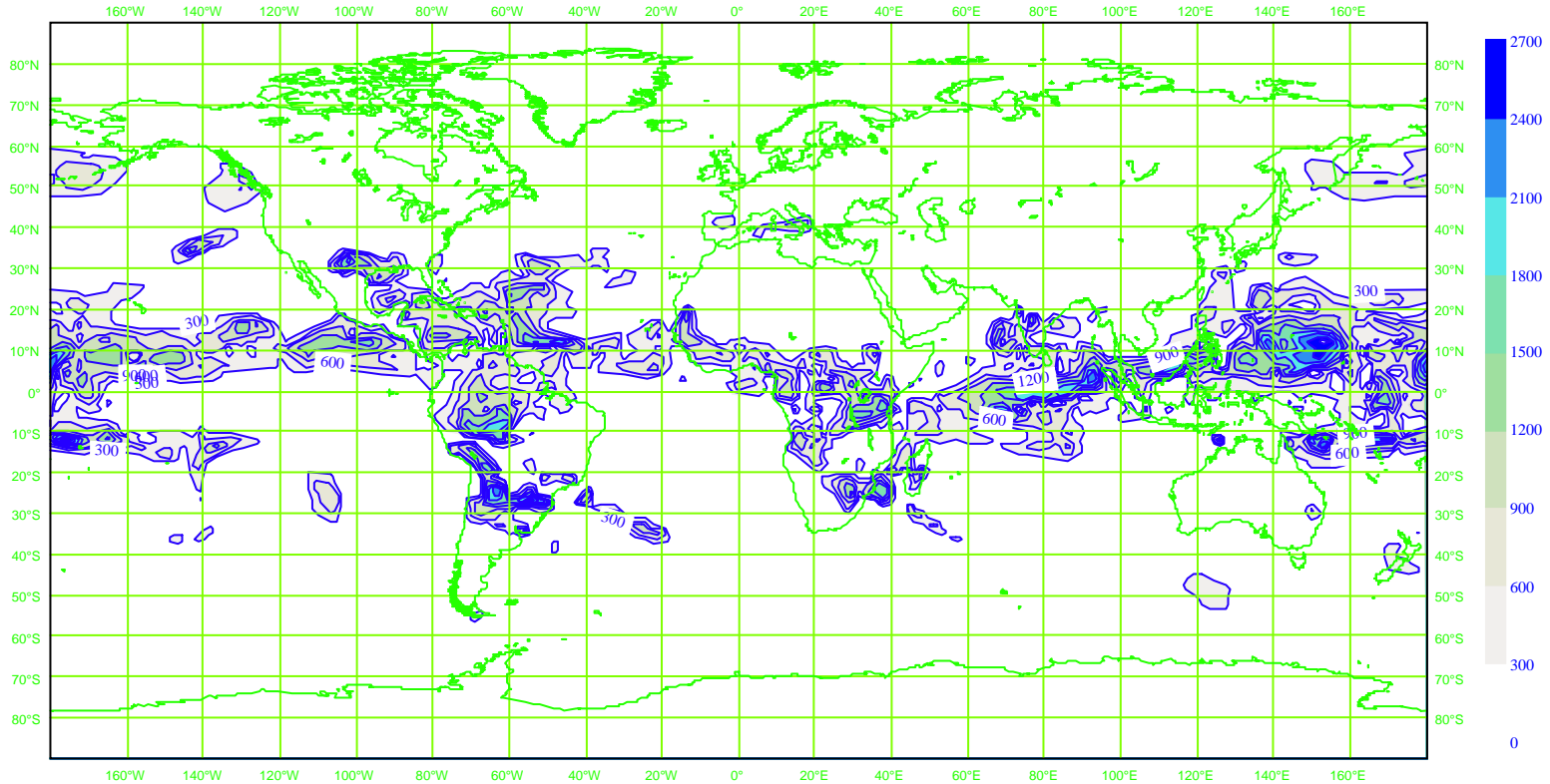
- ❖ Add stochastic forcing as
  - Divergence increment (spectral space)
  - Temperature increment (gridpoint space)
- ❖ Use physical insight to adjust
  - spatio-temporal scales (spectra)
  - vertical structure
- ❖ How is MSCA best linked to large-scale state?

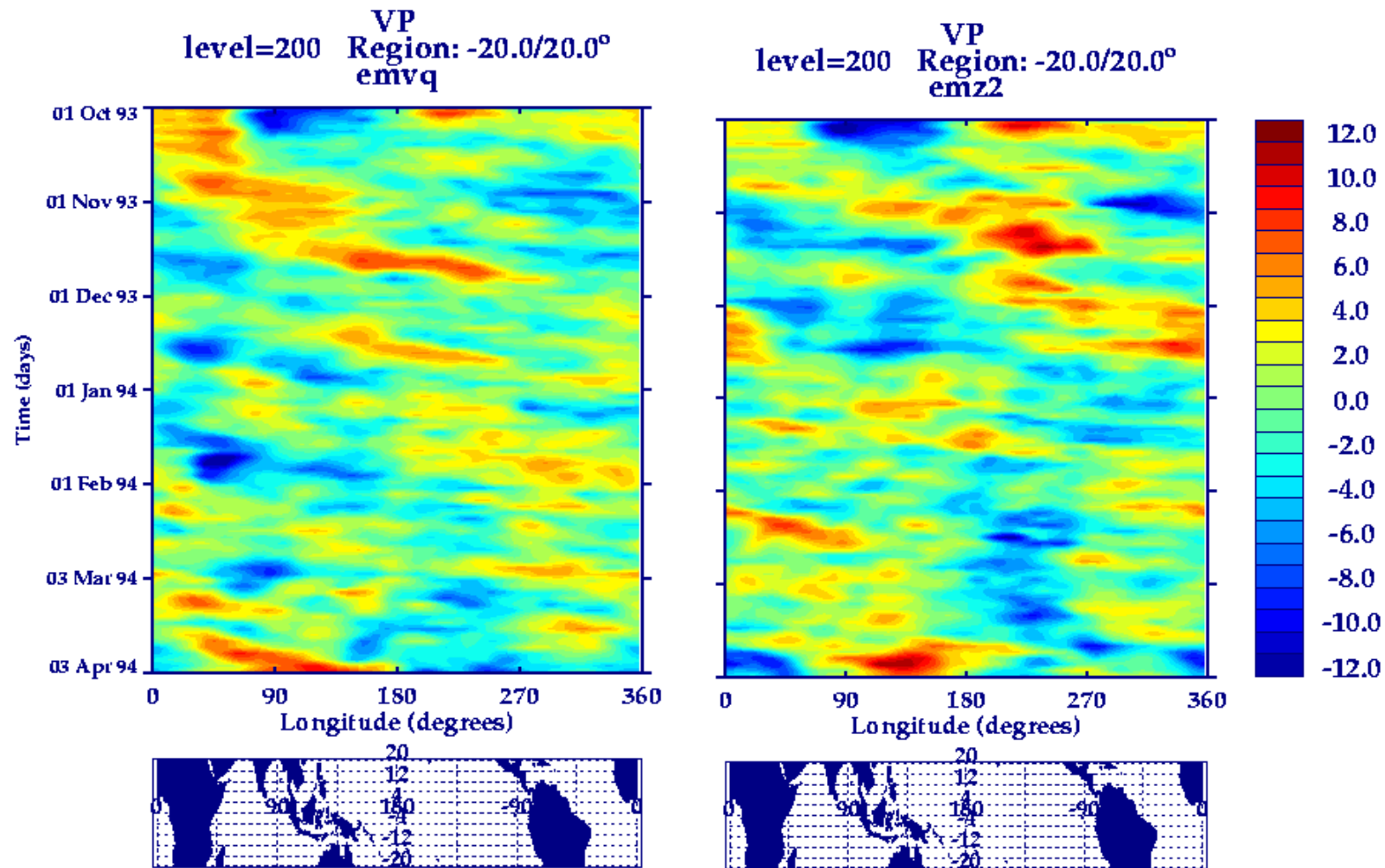
## Future work II: Impact

- ❖ Look at u850, OLR, rainfall, gridpoint storms
- ❖ Assess impact on skill and spread for medium-range and monthly and seasonal forecasts
- ❖ Assess impact on systematic error
- ❖ Test scheme in coupled model

# CAPE

Tuesday 1 October 1991 12UTC ECMWF Forecast t+30 days VT: Thursday 31 October 1991 12UTC Surface: Convective available potential energy

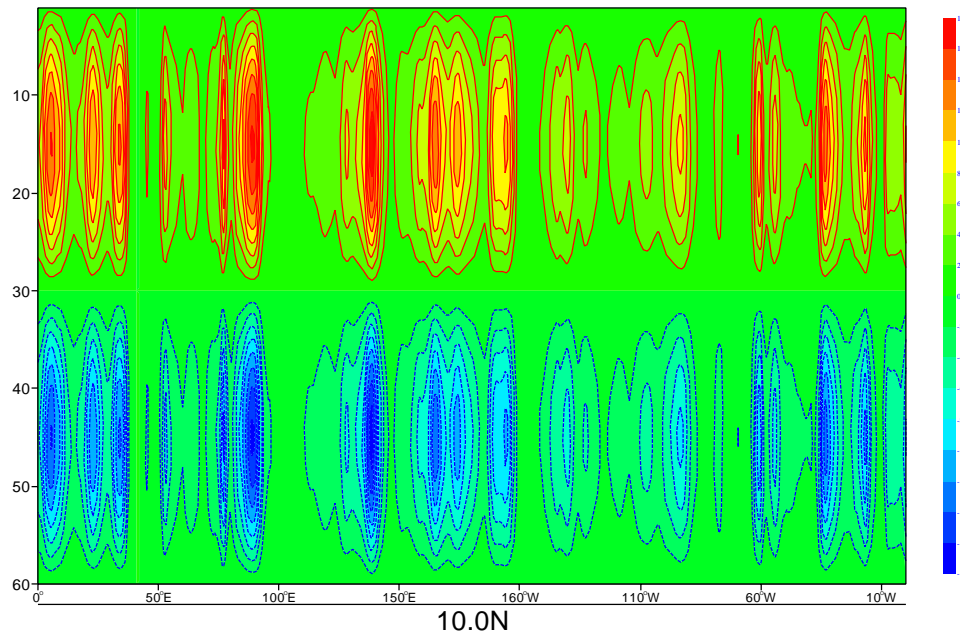




- More fine-scale structure
- slightly larger amplitude
- No obvious impact on propagation

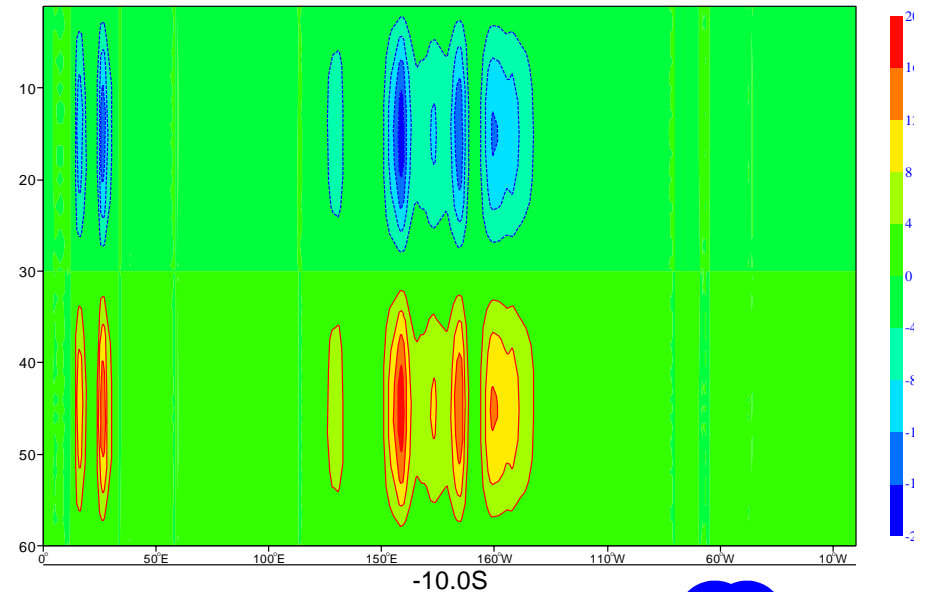
# Vertical structure of forcing

Cross section of p103/t128 19911001 1200 step 240 Expver emzt



**Latitude: 10N**

Cross section of p103/t128 19911001 1200 step 240 Expver emzt



**Latitude: 10S**

-10.0S